PCT

Docket No.

270621US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN'RE APPLICATION OF:

Kaoru MASUDA, et al.

SERIAL NO:

10/532,408

GAU:

FILED:

April 22, 2005

EXAMINER:

FOR:

METHOD FOR CLEANING MICROSTRUCTURE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

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			270621US0PCT		10/532,408						
<i>α</i> '				APPLICANT							
LIST OF REFERENCES CITED BY APPLICANT			Kaoru MASUDA, et al.								
^				FILING DATE		GROUP					
				April 22, 2005							
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		ILING			
	AA	6,277,753	08/21/01	MULLEE, William H. et al.		·					
	AB	6,425,956	07/30/02	COTTE, John Michael et al.							
	AC	6,024,801	02/15/00	WALLACE, Robert M. et al.							
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	Al	5,868,856	02/09/99	DOUGLAS, Monte A. et al.							
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	AP	2002/0164873	11/07/02	MASUDA, Kaoru et al.							
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			FO	REIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		YES	SLATIO	NO NO			
	AR	01/33613	05/10/01	wo					NO		
	AS	98/16288	04/23/98	WO(with English abstract and partial Er Translation)	nglish				NO		
	AT	02/15251	02/21/02	wo					NO		
	ΑU	1 365 441	11/26/03	EP					NO		
	AV	9-43857	02/14/97	JP(with English abstract and computer translation)	-				NO		
	AW	11-204491	07/30/99	JP(with English abstract and partial Eng Translation)	giisn				NO		
	AX										
	AY							L			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)											
	AZ Add					itional References sheet(s) attached					
Examiner						ate Considered					
*Examiner: In conformance	itial if r	eference is considered ot considered. Include c	whether or not opy of this form	citation is in conformance with MPEP 60 with next communication to applicant.	9; Draw lir	ne through	citation	if not i	in		

U.S. PCT Application <u>Serial No.: 10/532,408</u> Docket No.: <u>270621US0PCT</u>

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# STATEMENT OF RELEVANCY

1) References have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
2) Reference have been cited in the corresponding Search Report. A copy of this reference is being submitted herewith.
3) References _ are discussed in the specification. A copy of these references is being submitted here with.
4) References <u>AA-AW</u> are additional prior art known to Applicant. A copy of these references is being submitted herewith.